JC03 Rec'd PCT/PTO 1 8 OCT 2009

Date

October 18, 2005

Oct 18 2005 11:54 Redway & Brown LLP 703-299-0036 PTO/SB/21-109-02 Approved for use through 07/31/2009. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons Application Number 10/528,457 RECEIVED TRANSMITTAL Filing Date Merch 18, 2005 CENTRAL FAX CENTE First Named Inventor **FORM** Stephan Schwertz Art Unit Examiner Name (to be used for all correspondence after initial filing) Attorney Docket Number 000009-008 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC 1 Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please identify Terminal Disclaimer below): Extension of Time Request Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Transmittal 1 page Notification of Missing Requirements 2 pages Reply to Missing Parts/ Incomplete Application Fee Transmittal 2 coples 2 pages Reply to Missing Parts Declaration 2 pages under 37 CFR 1.52 or 1.53 Power of Attorney 1 page SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name White, Redway & Brown LLP Signature Printed name Harold R. Brown III Reg. No. Date October 18, 2005 36,341 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Harold R. Brown III

Signature

Typed or printed name



PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no nersons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. **EIVED** Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). CENTRAL FAX CENTER Application Number 10/528,457 TRANSM Filing Date March 18, 2005 For FY 2005 Stephan Schwartz First Named Inventor Examiner Name Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT 130.00 Attomey Docket No. 000009-008 METHOD OF PAYMENT (check all that apply) Credit Card Money Order Other (please identify): Check L None Deposit Account Name: Harold R. Brown III ✓ Deposit Account Deposit Account Number: 503015 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) ✓ Charge fee(s) Indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments Under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. FEE CALCULATION 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES SEARCH FEES** Small Entity Small Entity Small Entity Fees Pald (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) 200 300 500 Utility 150 250 100 130 200 100 65 100 50 Design 200 100 300 150 160 80 Plant 600 300 500 250 300 150 Reissue 200 100 0 0 0 Provisional Small Entity 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description 50 25 Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) 200 100 360 180 Multiple dependent claims Multiple Dependent Claims Total Claims Fee Paid (\$) Extra Claims Fee (\$) Fee Paid (\$) Fee (\$) - 20 or HP = HP = highest number of total claims paid for, if greater than 20. 10/20/2005 GFREY1 - 00000146 503<del>015 - 10528</del>457 Fee (\$) Fee Pald (\$) Extra Claims Indep. Claims - 3 or HP = 01 FC:1617 130.00 DA HP = highest number of Independent claims paid for, if greater than 3. **APPLICATION SIZE FEE** If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Extra Sheets Total Sheets (round up to a whole number) x / 50 = - 100 = 4. OTHER FEE(S) Fees Pald (\$) Non-English Specification, \$130 fee (no small entity discount) 130.00 Other (e.g., late filing surcharge): late filing surcharge SUBMITTED BY

Registration No. 38,341 Telephone (703)299-0953 Signature (Attorney/Agent) Date October 18, 2005 Name (Print/Type) Harold R. Brown III

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PRIORITY DATE

09/25/2002

OCT 18 2005



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices Address COMMISSIONER FOR PATENTS P. O. 084 1450 Alamakia, Vaguia 22313-1450

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/528,457	Stephan Schwartz	000009-008

INTERNATIONAL APPLICATION NO.

PCT/SE03/01456

I A. FILING DATE

09/17/2003

51707 WHITE, REDWAY & BROWN LLP PMB 285 107 S. WEST STREET ALEXANDRIA, VA 22314

CONFIRMATION NO. 4925 371 FORMALITIES LETTER \*OC000000016887622\*

\*OC000000016887622\*

Date Mailed: 08/30/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/18/2005
- Copy of the International Search Report filed on 03/18/2005
- Copy of IPE Report filed on 03/18/2005
- Preliminary Amendments filed on 03/18/2005
- Information Disclosure Statements filed on 03/18/2005
- Oath or Declaration filed on 03/18/2005
- Request for Immediate Examination filed on 03/18/2005
- U.S. Basic National Fees filed on 03/18/2005
- Priority Documents filed on 03/18/2005
- Specification filed on 03/18/2005
- Claims filed on 03/18/2005
- Abstracts filed on 03/18/2005
- Drawings filed on 03/18/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application
  by the International application number and International filing date. The current oath or declaration does
  not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath



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or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/528,457	PCT/SE03/01456	000009-008

FORM PCT/DO/EO/905 (371 Formalities Notice)